



FILE NO.: AP33567 - 070457.1062
PATENT

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Kranzley *et al.*

Serial No. : 09/963,274 Examiner: Not Yet Assigned

Filed : September 26, 2001 Group Art Unit: 2165

For : A UNIVERSAL AND INTEROPERABLE SYSTEM AND METHOD
UTILIZING A UNIVERSAL CARDHOLDER AUTHENTICATION
FIELD (UCAF) FOR AUTHENTICATION DATA COLLECTION
AND VALIDATION

INFORMATION DISCLOSURE STATEMENT

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231

April 23, 2003
Date of Deposit

Robert C. Scheinfeld
Attorney Name

[Signature]
Signature

31,300
PTO Registration No.

April 23, 2003
Date of Signature

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

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In accordance with 37 C.F.R. §§§ 1.56, 1.97 and 1.98, applicants enclose herewith references for consideration by the Patent and Trademark Office with respect to the above-identified application. Also enclosed is Form PTO-1449 listing the enclosed references.

Applicants request that the listed documents be considered and made of record in the application if appropriate.

1. U.S. Publication 2002/0052784 A1 to Sherwin *et al.*, issued May 2, 2002;

2. U.S. Publication 2002/0046169 A1 to Keresmann, III *et al.*, issued April 18, 2002;
3. U.S. Patent 6,370,514 B1 to Messner, issued April 9, 2002;
4. U.S. Publication 2002/0032663 A1 to Messner, issued March 14, 2002;
5. U.S. Publication 2001/0051902 A1 to Messner, issued December 13, 2001;
6. U.S. Publication 2001/0047335 A1 to Arndt *et al.*, issued November 29, 2001;
7. U.S. Publication 2001/0047281 A2 to Keresman, III *et al.*, issued November 29, 2001;
8. U.S. Publication 2001/0037451 A1 to Bhagavatula *et al.*, issued November 1, 2001;
9. U.S. Patent 5,903,878 to Talati *et al.*, issued May 11, 1999;
10. WO 02/15077 A1 published 21.02.2002;
11. WO 01/84509 A2 published 08.11.2001;
12. WO 01/67215 A2 published 13.09.2001;
13. WO 01/26062 A1 published 12.04.2001;
14. WO 01/07873 A2 published 01.02.2001;
15. WO 01/01622 A2 published 04.01.2001;
16. AU 6053700 Australian Patent. **(Applicants are trying to obtain a copy of this reference.)**



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PATENT

The Commissioner is hereby authorized to charge payment of any additional fee
to Deposit Account No. 02-4377.

Respectfully submitted,

Dated: April 23, 2003


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Form PTO-1449 U.S. Department of Commerce (REV. 2-82) Patent and Trademark Office	Atty. Docket No. AP33567 - 070457.1062	Serial No. 09/963,274
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)	Applicant Kranzley <i>et al.</i>	
	Filing Date September 26, 2001	Group 2165
	Examiner To Be Assigned	

FOREIGN PATENT DOCUMENTS

No.	Document No.	Date	Country	Class	Subclass	Translation	
						Yes	No
12.	WO 01/67215 A2	13.09.2001	Int'l WIPO	G06F	1/00		
13.	WO 01/26062 A1	12.04.2001	Int'l WIPO	G07F	7/10		
14.	WO 01/07873 A2	01.02.2001	Int'l WIPO	G01F	7/00		
15.	WO 01/01622 A2	04.01.2001	Interesting'l WIPO	H04L			
16.	AU 6053700						

No.	OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

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NY02:438209.1
<div style="display: flex; justify-content: space-between;"> Examiner Date Considered </div>

* Examiner: Initial citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449 U.S. Department of Commerce (REV. 2-82) Patent and Trademark Office INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)	Atty. Docket No. AP33567 – 070457.1062	Serial No. 09/963,274
	Applicant Kranzley <i>et al.</i>	
	Filing Date September 26, 2001	Group 2165
	Examiner To Be Assigned	

U.S. PATENT DOCUMENTS

*Exam. Init.	No.	Document No.							Date	Name	Class	Subclass	Filing Date if Appro.
	1.	0	0	5	2	7	8	4	05/02/02	Sherwin <i>et al.</i>	705	14	
	2.	0	0	4	6	1	6	9	04/18/02	Keresman, III <i>et al.</i>	705	41	
	3.	6	3	7	0	5	1	4	04/09/02	Messner	705	14	
	4.	0	0	3	2	6	6	3	03/14/02	Messner	705	72	
	5.	0	0	5	1	9	0	2	12/13/01	Messner	705	26	
	6.	0	0	4	7	3	3	5	11/29/01	Arndt <i>et al.</i>	705	44	
	7.	0	0	4	7	2	8	1	11/29/01	Keresman, III <i>et al.</i>	705	2	
	8.	0	0	3	7	4	5	1	11/01/01	Bhagavatula <i>et al.</i>	713	155	
	9.	5	9	0	3	8	7	8	05/11/99	Talati <i>et al.</i>	705	26	

FOREIGN PATENT DOCUMENTS

No.	Document No.	Date	Country	Class	Subclass	Translation Yes No
10.	WO 02/15077 A1	21.02.2002	Int'l WIPO	G06F	17/60	
11.	WO 01/84509 A2	08.11.2001	Int'l WIPO	G07F		

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